### Fundamental Concepts of Programming Languages Functional Programming Fundamentals Lecture 13

#### conf. dr. ing. Ciprian-Bogdan Chirila

University Politehnica Timisoara Department of Computing and Information Technology

#### January 10, 2023

conf. dr. ing. Ciprian-Bogdan Chirila (UniverFundamental Concepts of Programming Lang

January 10, 2023 1 / 52

### FCPL - 13 - Functional Programming in Practice

- Avoiding flow control
  - Comprehensions
  - Generators
  - Dictionaries and sets comprehensions
  - Recursion
  - Eliminating loops
  - Streams
    - Stream creation
    - Stream operations
  - Bibliography

# FCPL - 13 - Functional Programming in Practice

Avoiding flow control Generators Dictionaries and sets comprehensions Eliminating loops Streams Stream creation Stream operations Bibliography

conf. dr. ing. Ciprian-Bogdan Chirila (UniverFundamental Concepts of Programming Lang

### Avoiding flow control

• a block of code contains:

- outside loops like for or while
- assignment of state variables within loops
- modification of data structures
- branch statements if, elif, else, try, except, finally
- it seems natural and easy
- problems with side effects due to state variables and mutable data structures
  - a mutable object can be changed after its creation
  - an immutable object **cannot** be changed after its creation

### Avoiding flow control

- the problem
  - it difficult to reason accurately about what state data is in at a given point in a program
- the solution
  - is not to focus on the data construction
  - but on describing what the data collection consists of
- imperative flow control is about the "how" rather than the "what"
- to focus on "what" by refactoring the code
- to pus the data construction in a more isolated place

### Encapsulation

```
# configure the data to start with
collection = get_initial_state()
state_var = None
for datum in data_set:
    if condition(state_var):
       state_var = calculate_from(datum)
       new = modify(datum, state_var)
       collection.add_to(new)
    else:
       new = modify_differently(datum)
       collection.add_to(new)
# Now actually work with the data
```

for thing in collection:
 process(thing)

### Encapsulation

```
# tuck away construction of data
def make_collection(data_set):
    collection = get_initial_state()
    state_var = None
    for datum in data_set:
        if condition(state_var):
            state_var = calculate_from(datum, state_var)
            new = modify(datum, state_var)
            collection.add_to(new)
    else:
            new = modify_differently(datum)
            collection.add_to(new)
    return collection
```

```
# Now actually work with the data
for thing in make_collection(data_set):
    process(thing)
```

### Encapsulation

- there is no program logic change
- we shifted from **how** do we construct the collection
- to **what** does make\_collection() create

# FCPL - 13 - Functional Programming in Practice

- Avoiding flow control
- Comprehensions
- Generators
- Oictionaries and sets comprehensions
- 5 Recursion
- 6 Eliminating loops
  - 7 Streams
    - Stream creation
    - Stream operations
- Bibliography

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

### Comprehensions

- are a way to make the code more compact
- they shift the focus from **how** to **what**
- are expressions that use the same keywords as loop and conditional blocks
- inverts their order to focus on the data rather than on the procedure
- changing the form of the expression makes a large difference in how we reason about the code

10 / 52

### Comprehensions

```
collection = list()
for datum in data_set:
    if condition(datum):
        collection.append(datum)
    else:
        new = modify(datum)
        collection.append(new)
---
collection = [d if condition(d) else modify(d)
        for d in data_set]
```

▲ □ ▶ ▲ □ ▶ ▲ □ ▶

### Comprehensions

- we saved a few characters and lines :)
- we did a mental shifting by thinking what a collection is
- we avoided to think about the state of collection in the loop
- in Python there are several types of comprehensions
  - generator comprehensions;
  - set comprehensions;
  - dict comprehensions.
- as caveat nesting comprehensions may stop clarifying and start obscuring
- the solution is to refactor into functions

## FCPL - 13 - Functional Programming in Practice

- 1
- Avoiding flow control
- Comprehensions
- Generators
- Dictionaries and sets comprehensions
- 5 Recursion
- Eliminating loops
- 7 Streams
  - Stream creation
  - Stream operations
- 8 Bibliography

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

### Generator comprehensions

- have almost the same syntax as list comprehensions
- there are no square brackets around them, but parentheses
- they are also **lazy**
- they represent a description of how to get the data
- but it is not realized until one explicitly asks for it
  - by calling .next() on the object
  - by looping over it
- saves memory for large sequences
- defers computation until is actually needed

#### Generator comprehensions

```
log_lines = (line for line in read_line(huge_log_file)
if complex_condition(line))
---
# the imperative version
def get_log_lines(log_file):
    line = read_line(log_file)
while True:
    try:
    if complex_condition(line):
      yield line
    line = read_line(log_file)
except StopIteration:
    raise
```

```
log_lines = get_log_lines(huge_log_file)
```

- 4 回 ト - 4 三 ト

#### Generator comprehensions

```
# another imperative version
class GetLogLines(object):
 def __init__(self, log_file):
  self.log_file = log_file
  self.line = None
def iter (self):
 return self
def __next__(self):
  if self.line is None:
   self.line = read_line(log_file)
 while not complex_condition(self.line):
   self.line = read_line(self.log_file)
 return self.line
```

```
log_lines = GetLogLines(huge_log_file)
```

# FCPL - 13 - Functional Programming in Practice

January 10, 2023

17 / 52

- Avoiding flow control
- Comprehensions
- Generators
- Dictionaries and sets comprehensions
- Recursion
- Eliminating loops
- 7 Streams
  - Stream creation
  - Stream operations
- Bibliography

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

#### Dictionaries and sets comprehensions

```
>>> {i:chr(65+i) for i in range(6)}
{0: 'A', 1: 'B', 2: 'C', 3: 'D', 4: 'E', 5: 'F'}
```

```
>>> {chr(65+i) for i in range(6)}
{'A', 'B', 'C', 'D', 'E', 'F'}
```

<日<br />
<</p>

# FCPL - 13 - Functional Programming in Practice

January 10, 2023

19 / 52

- Avoiding flow control
- Comprehensions
- Generators
  - Dictionaries and sets comprehensions
  - Recursion
  - Eliminating loops
- Streams
  - Stream creation
  - Stream operations
- Bibliography

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

### Recursion

- functional programming is about expressing flow control using recursion instead of loops
- thus, we can avoid altering the state of any variable within the algorithm
- recursion can be iteration having just another name
  - it is in the style of Lisp
  - it is not in the style of Python (slow at recursion and has limited stack depth sys.setrecursionlimit(5000) default is 1000)
- recursion can be used in solving problems by partitioning into smaller problems
- Python lacks tail call elimination feature

### Example of recursion being iteration

```
def running_sum(numbers, start=0):
    if len(numbers) == 0:
        print()
        return
    total = numbers[0] + start
    print(total, end=" ")
    running_sum(numbers[1:], total)
```

・ 何 ト ・ ヨ ト ・ ヨ ト

### Example of recursion being iteration

- this approach is not recommended
- the iteration which modifies the total state variable is more readable
- it is likely to call the function on sequences larger than 1000

### Recursion less trivial example

```
def factorialR(N):
    "Recursive factorial function"
    assert isinstance(N, int) and N >= 1
    return 1 if N <= 1 else N * factorialR(N-1)

def factorialI(N):
    "Iterative factorial function"
    assert isinstance(N, int) and N >= 1
    product = 1
    while N >= 1:
        product *= N
        N -= 1
    return product
```

・ 何 ト ・ ヨ ト ・ ヨ ト

### High order functions

from functools import reduce
from operator import mul

```
def factorialHOF(n):
    return reduce(mul, range(1, n+1), 1)
```

conf. dr. ing. Ciprian-Bogdan Chirila (UniverFundamental Concepts of Programming Lang

(日)

#### Quicksort example

```
def quicksort(lst):
    "Quicksort over a list-like sequence"
    if len(lst) == 0:
        return lst
    pivot = lst[0]
    pivots = [x for x in lst if x == pivot]
    small = quicksort([x for x in lst if x < pivot])
    large = quicksort([x for x in lst if x > pivot])
    return small + pivots + large
```

conf. dr. ing. Ciprian-Bogdan Chirila (UniverFundamental Concepts of Programming Lang

25 / 52

< ロ > < 同 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < 回 > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ > < □ >

# FCPL - 13 - Functional Programming in Practice

- Avoiding flow control
- Comprehensions
- 3 Generators
- Dictionaries and sets comprehensions
  - Recursion
    - Eliminating loops
    - Streams
      - Stream creation
      - Stream operations
- 8 Bibliography

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

January 10, 2023 26 / 52

### Eliminating loops

- we to try to eliminate all loops from a Python program
- this practice is not always desirable because it affects readability
- it is simple to apply it in a systematic manner
- if we find a function call inside a loop we can use the high order function map()
- there is no repeated binding of the iteration variable

### Statement and map based loop

```
for e in it: # statement-based loop
func(e)
---
map(func, it) # map()-based "loop"
```

・ 何 ト ・ ヨ ト ・ ヨ ト

### Statement and map based loop

# let f1, f2, f3 (etc) be functions that perform actions
# an execution utility function
do\_it = lambda f, \*args: f(\*args)

```
# map()-based action sequence
map(do_it, [f1, f2, f3])
```

(4) (日本)

### Using map

```
>>> hello = lambda first, last: print("Hello", first, last)
>>> bye = lambda first, last: print("Bye", first, last)
>>> _ = list(map(do_it, [hello, bye], ['David','Jane'], ['Mertz','Doe']))
Hello David Mertz
Bye Jane Doe
---
>>> do_all_funcs = lambda fns, *args: [list(map(fn, *args)) for fn in fns]
>>> _ = do_all_funcs([hello, bye],['David','Jane'], ['Mertz','Doe'])
Hello David Mertz
Hello Jane Doe
Bye David Mertz
Bye Jane Doe
```

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ ● ● ●

### Eliminating loops

```
# statement-based while loop
while <cond>:
 <pre-suite>
 if <break_condition>:
  break
 else:
  <suite>
# FP-style recursive while loop
def while_block():
 <pre-suite>
 if <break_condition>:
  return 1
 else:
  <suite>
return 0
while_FP = lambda: (<cond> and while_block()) or while_FP()
while FP()
```

conf. dr. ing. Ciprian-Bogdan Chirila (UniverFundamental Concepts of Programming Lang

◆□▶ ◆□▶ ◆ □▶ ◆ □▶ ● □ ● ● ● ●

# FCPL - 13 - Functional Programming in Practice

January 10, 2023

32 / 52

- Avoiding flow control
- Comprehensions
- 3 Generators
- Oictionaries and sets comprehensions
  - 5 Recursion
  - Eliminating loops
    - Streams
      - Stream creation
      - Stream operations
- Bibliography

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

### Streams

- streams are wrappers around a data source
- allow us to operate with the data source
- allows bulk processing convenient and fast
- do not store data
- are not a data structures
- do not modify the underlying data source
- java.util.stream present from Java 8

33 / 52

### Stream creation

```
private static Employee[] arrayOfEmps =
{
    new Employee(1, "Jeff Bezos", 100000.0),
    new Employee(2, "Bill Gates", 200000.0),
    new Employee(3, "Mark Zuckerberg", 300000.0)
};
Stream.of(arrayOfEmps);
---
private static List<Employee> empList = Arrays.asList(arrayOfEmps);
empList.stream();
---
// streaming from individual objects
Stream.of(arrayOfEmps[0], arrayOfEmps[1], arrayOfEmps[2]);
```

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

周 ト イ ヨ ト イ ヨ ト

### Stream builder

Stream.Builder<Employee> empStreamBuilder = Stream.builder();

```
empStreamBuilder.accept(arrayOfEmps[0]);
empStreamBuilder.accept(arrayOfEmps[1]);
empStreamBuilder.accept(arrayOfEmps[2]);
```

Stream<Employee> empStream = empStreamBuilder.build();

・ 何 ト ・ ヨ ト ・ ヨ ト

### forEach operation

```
@Test
public void whenIncrementSalaryForEachEmployee_thenApplyNewSalary()
{
  empList.stream().forEach(e -> e.salaryIncrement(10.0));
  assertThat(empList, contains(
    hasProperty("salary", equalTo(110000.0)),
    hasProperty("salary", equalTo(220000.0)),
    hasProperty("salary", equalTo(330000.0))
  ));
}
```

#### map operation

```
@Test
public void whenMapIdToEmployees_thenGetEmployeeStream()
{
    Integer[] empIds = { 1, 2, 3 };
    List<Employee> employees = Stream.of(empIds)
    .map(employeeRepository::findById)
    .collect(Collectors.toList());
    assertEquals(employees.size(), empIds.length);
}
```

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

#### map operation

- produces a new stream after applying a function to each element of the original stream
- the new stream could be of different type
- in the example we converted a stream of Integers into a stream of Employees
- each Integer is passed to the function employeeRepository::findById()
- it returns the Employee object
- thus, it forms an Employee stream

#### collect operation

```
@Test
public void whenCollectStreamToList_thenGetList()
{
    List<Employee> employees = empList.stream().collect(Collectors.toList());
    assertEquals(empList, employees);
}
```

### collect operation

- is the way to get the elements out of the stream once we are done with the processing
- performs mutable fold operations on data elements held in the Stream instance
- fold operation means repacking elements to some data structures and applying additional logic

# filter operation

```
@Test
public void whenFilterEmployees_thenGetFilteredStream()
{
    Integer[] empIds = { 1, 2, 3, 4 };
    List<Employee> employees = Stream.of(empIds)
    .map(employeeRepository::findById)
    .filter(e -> e != null)
    .filter(e -> e.getSalary() > 200000)
    .collect(Collectors.toList());
    assertEquals(Arrays.asList(arrayOfEmps[2]), employees);
}
```

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

# filter operation

- produces new stream of elements that passed a given test
- the test is specified by a predicate
- in the example:
  - we filtered out the null references for invalid employee ids
  - we filetred out the employees having salaries under a certain threshold

## findFirst operation

```
@Test
public void whenFindFirst_thenGetFirstEmployeeInStream()
{
    Integer[] empIds = { 1, 2, 3, 4 };
    Employee employee = Stream.of(empIds)
    .map(employeeRepository::findById)
    .filter(e -> e != null)
    .filter(e -> e.getSalary() > 100000)
    .findFirst()
    .orElse(null);
    assertEquals(employee.getSalary(), new Double(200000));
}
```

## findFirst operation

- return an Optional innstance for the first entry in the stream
- the Optional instance may be null
- in the example:
  - we return the employee with the salary greater than a threshold
  - if no employee exists then null is returned

```
toArray operation
```

```
@Test
public void whenStreamToArray_thenGetArray()
{
    Employee[] employees = empList.stream().toArray(Employee[]::new);
    assertThat(empList.toArray(), equalTo(employees));
}
```

# toArray operation

- we saw the example with the collection of elements
- we also can get an array out of the stream by using toArray() method
- in the example:
  - the Emplyee[]::new creates an empty array of Employee
  - it is then filled with elements from the stream

## flatMap operation

```
@Test
public void whenFlatMapEmployeeNames_thenGetNameStream()
{
   List<List<String>> namesNested = Arrays.asList(
   Arrays.asList("Jeff", "Bezos"),
   Arrays.asList("Bill", "Gates"),
   Arrays.asList("Mark", "Zuckerberg"));
  List<String> namesFlatStream = namesNested.stream()
   .flatMap(Collection::stream)
   .collect(Collectors.toList());
  assertEquals(namesFlatStream.size(), namesNested.size() * 2);
}
```

conf. dr. ing. Ciprian-Bogdan Chirila (UniverFundamental Concepts of Programming Lang

47 / 52

# flatMap operation

- a stream can hold complex data structures like Stream<List<String>>
- flatMap() helps to flatten the data structure to simplify further operations
- in the example:
  - we converted the StreamiListiStringii to a simple StreamiStringi

49 / 52

#### peek operation

```
@Test
   public void whenIncrementSalaryUsingPeek_thenApplyNewSalary()
   Ł
    Employee[] arrayOfEmps =
    ſ
     new Employee(1, "Jeff Bezos", 100000.0),
     new Employee(2, "Bill Gates", 200000.0),
     new Employee(3, "Mark Zuckerberg", 300000.0)
    };
    List<Employee> empList = Arrays.asList(arrayOfEmps);
    empList.stream()
    .peek(e -> e.salaryIncrement(10.0))
    .peek(System.out::println)
    .collect(Collectors.toList()):
    assertThat(empList, contains(
    hasProperty("salary", equalTo(110000.0)),
    hasProperty("salary", equalTo(220000.0)),
    hasProperty("salary", equalTo(330000.0))
    )):
conf. dr. ing. Ciprian-Bogdan Chirila (UniverFundamental Concepts of Programming Lang
                                                                      January 10, 2023
```

### peek operation

- forEach is a terminal operation
- sometimes we need to perform multiple operations on each element before any terminal operation
- peek() is usefull in such situations
- in the example:
  - the first peek() is used to increment the salary of each employee
  - the second peek() is used to print the employees
  - finally collect() is used as terminal operation

50 / 52

# FCPL - 13 - Functional Programming in Practice

- Avoiding flow control
- Comprehensions
- 3 Generators
- Oictionaries and sets comprehensions
- 5 Recursion
- 6 Eliminating loops
  - Streams
    - Stream creation
    - Stream operations
  - Bibliography

conf. dr. ing. Ciprian-Bogdan Chirila (Univer<mark>Fundamental Concepts of Programming Lang</mark>

# Bibliography

- Horia Ciocarlie The programming language universe, second edition, Timisoara, 2013.
- Carlo Ghezzi, Mehdi Jarayeri Programming Languages, John Wiley, 1987.
- Ellis Horrowitz Fundamentals of programming languages, Computer Science Press, 1984.
- Onald Knuth The art of computer programming, 2002.
- David Merz Functional programming in Python, 2015.
- Eugen Paraschiv A Guide to Java Streams in Java
   8: In-Depth Tutorial With Examples, 2022.

January 10, 2023

52 / 52